

- | | |
|--|--|
| <p>Sec.</p> <p>3404. Customer authorizations.</p> <p>3405. Administrative subpoena and summons.</p> <p>3406. Search warrants.</p> <p>3407. Judicial subpoena.</p> <p>3408. Formal written request.</p> <p>3409. Delayed notice.</p> <p>3410. Customer challenges.</p> <p>3411. Duty of financial institutions.</p> <p>3412. Use of information.</p> <p>3413. Exceptions.</p> | <p>(d) Release of records as incident to perfection of security interest, proving a claim in bankruptcy, collecting a debt, or processing an application with regard to a Government loan, loan guarantee, etc.</p> <p>(a) Statement furnished by customer to financial institution and Government authority; contents.</p> <p>(b) Authorization as condition of doing business prohibited.</p> <p>(c) Right of customer to access to financial institution's record of disclosures.</p> <p>(a) Applicability of Federal Rules of Criminal Procedure.</p> <p>(b) Mailing of copy and notice to customer.</p> <p>(c) Court-ordered delays in mailing.</p> <p>(a) Application by Government authority; findings.</p> <p>(b) Grant of delay order; duration and specifications; extensions; copy of request and notice to customer.</p> <p>(c) Notice requirement respecting emergency access to financial records.</p> <p>(d) Preservation of memorandums, affidavits, or other papers.</p> <p>(a) Filing of motion to quash or application to enjoin; proper court; contents.</p> <p>(b) Filing of response; additional proceedings.</p> <p>(c) Decision of court.</p> <p>(d) Appeals.</p> <p>(e) Sole judicial remedy available to customer.</p> <p>(f) Affect on challenges by financial institutions.</p> <p>(a) Transfer of financial records to other agencies or departments; certification.</p> <p>(b) Mailing of copy of certification and notice to customer.</p> <p>(c) Court-ordered delays in mailing.</p> <p>(d) Exchanges of examination reports by supervisory agencies; transfer of financial records to defend customer action; withholding of information.</p> <p>(e) Exchange of records, reports, or other information.</p> <p>(f) Transfer to Attorney General or Secretary of the Treasury.</p> <p>(a) Disclosure of financial records not identified with particular customers.</p> <p>(b) Disclosure to, or examination by, supervisory agency pursuant to exercise of supervisory, regulatory, or monetary functions with respect to financial institutions, holding companies, subsidiaries, institution-affiliated parties, or other persons.</p> <p>(c) Disclosure pursuant to title 26.</p> <p>(d) Disclosure pursuant to Federal statute or rule promulgated thereunder.</p> <p>(e) Disclosure pursuant to Federal Rules of Criminal Procedure or comparable rules of other courts.</p> |
|--|--|
- | | |
|--|---|
| <p>Sec.</p> <p>3414. Special procedures.</p> <p>3415. Cost reimbursement.</p> <p>3416. Jurisdiction.</p> <p>3417. Civil penalties.</p> <p>3418. Injunctive relief.</p> <p>3419. Suspension of limitations.</p> <p>3420. Grand jury information; notification of certain persons prohibited.</p> <p>3421. Repealed.</p> <p>3422. Applicability to Securities and Exchange Commission.</p> | <p>(f) Disclosure pursuant to administrative subpoena issued by administrative law judge.</p> <p>(g) Disclosure pursuant to legitimate law enforcement inquiry respecting name, address, account number, and type of account of particular customers.</p> <p>(h) Disclosure pursuant to lawful proceeding, investigation, etc., directed at financial institution or legal entity or consideration or administration respecting Government loans, loan guarantees, etc.</p> <p>(i) Disclosure pursuant to issuance of subpoena or court order respecting grand jury proceeding.</p> <p>(j) Disclosure pursuant to proceeding, investigation, etc., instituted by General Accounting Office and directed at a government authority.</p> <p>(k) Disclosure necessary for proper administration of programs of withholding taxes on nonresident aliens, Federal Old-Age, Survivors, and Disability Insurance Benefits, and Railroad Retirement Act Benefits.</p> <p>(l) Crimes against financial institutions by insiders.</p> <p>(m) Disclosure to, or examination by, employees or agents of Board of Governors of Federal Reserve System or Federal Reserve Bank.</p> <p>(n) Disclosure to, or examination by, Resolution Trust Corporation or its employees or agents.</p> <p>(o) Disclosure to, or examination by, Federal Housing Finance Board or Federal home loan banks.</p> <p>(p) Access to information necessary for administration of certain veteran benefits laws.</p> <p>(q) Disclosure pursuant to Federal contractor-issued travel charge card.</p> <p>(a) Access to financial records for certain intelligence and protective purposes.</p> <p>(b) Emergency access to financial records.</p> <p>(d) Definition of "financial institution".</p> <p>(a) Liability of agencies or departments of United States or financial institutions.</p> <p>(b) Disciplinary action for willful or intentional violation of chapter by agents or employees of department or agency.</p> <p>(c) Good faith defense.</p> <p>(d) Exclusive judicial remedies and sanctions.</p> |
|--|---|

CHAPTER REFERRED TO IN OTHER SECTIONS

This chapter is referred to in title 15 sections 78u, 78x, 6802; title 31 section 310; title 38 section 5319; title 42 section 1383; title 50 section 436.

§ 3401. Definitions

For the purpose of this chapter, the term—